

PATENT DOCKETING

APR 01 2002

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WASHINGTON, D.C. 20590  
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APPLICATION NUMBER	FILING RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10 084,555	02 25 2002	Michael G. Goggins	JHU1700-1

CONFIRMATION NO. 7972

## FORMALITIES LETTER



\*OC000000007726481\*

Gray Cary Ware & Freidenrich LLP  
Suite 1100  
4365 Executive Drive  
San Diego, CA 92121-2133

Adjustment date: 08/26/2002 YGIZAW  
07/30/2002 MBERHE 00000057 501355 10084555  
01 FC:205 65.00 CR

Date Mailed: 03/27/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 65.**
- This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600

- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)

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*A copy of this notice MUST be returned with the reply.*

V.G.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY



PATENT  
ATTY. DOCKET NO.: JHU1700-1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Goggins and Ueki Art Unit: 1645  
Application No.: 10/084,555 Examiner Unassigned  
Filed: February 25, 2002  
Title: DIFFERENTIALLY METHYLATED SEQUENCES IN  
PANCREATIC CANCER

**Box Sequence**

U.S. Patent and Trademark Office  
P.O. Box 2327  
Arlington, VA 22202

**TRANSMITTAL LETTER**

Sir:

In response to the Notice to File Missing Parts of Nonprovisional Application mailed March 27, 2002, Applicants provide herewith a computer readable and a paper copy of the Sequence Listing in accordance with 37 C.F.R. § 1.821 *et seq.* The remaining items noted as missing parts will be submitted under separate cover to Box Missing Parts, Commissioner for Patents, Washington, D.C. 20231.

Transmitted herewith for the above-identified application please find:

1. Statement Under 37 C.F.R. §§ 1.821(f) and (g) (1 pg.);
2. Verified Statement Under 37 C.F.R. § 1.821(f) (1 pg.);
3. Amendment in response to Notice to File Missing Parts of Nonprovisional Application (9 pgs.);
4. Sequence Listing (29 pg.);
5. Sequence Listing in computer readable form (3.5" disk);
6. Copy of Notice to File Missing Parts (2 pgs.); and
7. Return Postcard

Adjustment date: 08/27/2002 YGIZAW  
07/30/2002 MBERHE 00000057 501355 10084555  
02 FC:216/ 200.00 BR

CERTIFICATION UNDER 37 CFR §1.8	
I hereby certify that the documents referred to as enclosed herein are being deposited with the United States Postal Service as first class mail on this date, <u>July 24, 2002</u> , in an envelope addressed to: U.S. Patent and Trademark Office Box Sequence, P.O. Box 2327, Arlington, VA 22202.	
<b>Mikhail Bayley</b>	
Name of Person Mailing Paper	July 24, 2002
Signature	Date

In re Application of:  
Goggins and Ueki  
Application No.: 10/084,555  
Filed: February 25, 2002  
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PATENT  
ATTY. DOCKET NO.: JHU1700-1

A Petition for Extension of Time and Check No. 514417 in the amount of \$265.00 have been filed under separate cover. The Commissioner is hereby authorized to charge any additional fees associated with the filing submitted herewith, or credit any overpayment, to Deposit Account No. 50-1355. A copy of this Transmittal Sheet is enclosed.

Respectfully submitted,

Date: July 24, 2002



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**USPTO Customer Number: 28213**